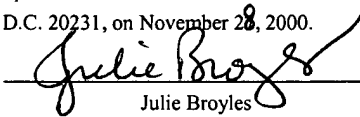


CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on November 28, 2000.


Julie Broyles

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Bryan JULIEN et al.

Serial No.: Divisinal of 09/443,501

Filing Date: November 19, 1999

For: RECOMBINANT METHODS AND
MATERIALS FOR PRODUCING
EPOTHILONE AND EPOTHILONE
DERIVATIVES

Examiner: K. Kerr

Group Art Unit: 1652

jc825 U.S. PTO
09/724889
11/28/00

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/443,501, filed November 19, 1999, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record

in the application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for

any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622003111. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 28, 2000

Respectfully submitted,

By: 

Carolyn A. Favorito
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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 300622003111	Application Number
	Applicant Bryan JULIEN et al.	
	Filing Date	Group Art Unit
	Mailing Date November 27, 2000	

1c825 U.S. PTO
09/24/89
11/28/00

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate	
	1.	02/25/1997	US 5,605,793	Stemmer	435	6		
	2.	09/30/1997	US 5,672,491	Khosla et al.	435	48		
	3.	11/11/1997	US 5,686,295	Jaoua et al.	435	252.3		
	4.	01/27/1998	US 5,712,146	Khosla et al.	435	252.35		
	5.	02/22/1998	US 5,811,238	Stemmer et al.	435	6		
	6.	10/20/1998	US 5,824,513	Katz et al.	435	76		
	7.	11/03/1998	US 5,830,721	Stemmer et al.	435	172.1		
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	11.	09/19/2000	US 6,121,029	Schupp et al.	435	183		

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	13.	12/23/1999	WO 99/66028	PCT				
	14.	10/28/1999	WO 99/54330	PCT				
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	17.	09/02/1999	WO 99/43653	PCT				
	18.	02/02/1999	WO 99/43320	PCT				
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	20.	08/12/1999	WO 99/40047	PCT				
	21.	08/12/1999	WO 99/39694	PCT				
	22.	06/10/1999	WO 99/27890	PCT				

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	Applicant Bryan JULIEN et al.	
	Filing Date	Group Art Unit
	Mailing Date November 27, 2000	

	23.	04/01/1999	WO 99/15047	PCT				
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		Applicant Bryan JULIEN et al.	
		Filing Date	Group Art Unit
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	51.	Hofle, et al. (1996). <i>Angew Chem Int Ed Engl</i> 35(13/14):1567-1569.	
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